

# **THOMAS J. McALLISTER, CFP**

## **REGISTERED INVESTMENT ADVISOR**

1098 TIMBER CREEK DRIVE #7, CARMEL, IN 46032  
PHONE: (317) 571-1112 FAX: (317) 581-1261

### **● McAllister Financial Planning Privacy Statement**

McAllister Financial Planning is committed to providing our clients with the finest financial planning and investment management services.

- We recognize the importance of keeping our client's personal information secure.
- We maintain physical and electronic safeguards that comply with applicable regulations to guard their nonpublic personal information.
- We collect nonpublic personal information about our clients from the following sources:
  1. Information we receive from them on applications or other forms,
  2. Information about their transactions with us, our affiliates, or others.
- We collect and use client information only to the extent needed to conduct our business. We may share or exchange information with companies who work with us, such as third party administrators hired to effect or administrate a transaction that a client may authorize.
- We will not sell any of our clients' personal information to anyone. We do not disclose any nonpublic personal information about our clients or former clients to anyone, except as required by law.
- We restrict access to nonpublic personal information about our clients to those associates who need to know that information to provide services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard our client's nonpublic personal information. We require any organization that helps us serve our clients to meet our privacy standards.
- We will monitor our practices to ensure that our clients' privacy is respected. We conduct business in a manner that meets privacy regulations of any state or jurisdictions in which we do business.
- No action is required on your part. This notice is meant to inform you how we safeguard your nonpublic personal information. You may wish to file this notice with your financial records.

End